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(54) Title: **MICROCOIL VASO-OCCLUSIVE DEVICE WITH MULTI-AXIS SECONDARY CONFIGURATION**

(57) **Abstract:** A vaso-occlusive device includes a microcoil formed into a minimum energy state secondary configuration comprising a plurality of curved segments, each defining a discrete axis, whereby the device, in its minimum energy state configuration, defines multiple axes. In a preferred embodiment, the secondary configuration comprises a plurality of interconnected closed loops defining a plurality of discrete axes. In a second embodiment, the secondary configuration defines a wave-form like structure comprising an array of laterally-alternating open loops defining a plurality of separate axes. In a third embodiment, the secondary configuration forms a series of tangential closed loops, wherein the entire structure subtends a first angle of arc, and wherein each adjacent pair of loops defines a second angle of arc. In a fourth embodiment, the secondary configuration forms a logarithmic spiral. In all embodiments, the device, in its secondary configuration, has a dimension that is substantially larger than the largest dimension of the vascular site (i.e., aneurysm) in which it is to be deployed. Thus, confinement of the device within an aneurysm causes it to assume a three-dimensional configuration with a higher energy state than the minimum energy state. Because the minimum energy state configuration of the device is larger (in at least one dimension) than the aneurysm, the deployed device is constrained by its contact with the walls of the aneurysm from returning to its minimum energy state configuration. The engagement of the device with the aneurysm wall minimizes shifting or tumbling due to blood flow. Furthermore, the secondary configuration is not conducive to "coin stacking," thereby minimizing the compaction experienced.

WO 03/059176 A2

1 MICROCOIL VASO-OCCLUSIVE DEVICE WITH MULTI-AXIS  
2 SECONDARY CONFIGURATION  
3

4 CROSS-REFERENCE TO RELATED APPLICATIONS

5 This application is a Continuation-in-Part of co-pending Application  
6 Serial No. 09/671,021; filed September 26, 2000.  
7

8 FEDERALLY-SPONSORED RESEARCH OR DEVELOPMENT

9 Not Applicable  
10

11 BACKGROUND OF THE INVENTION

12 This invention relates generally to the field of vascular occlusion  
13 devices and methods. More specifically, it relates to an apparatus and  
14 method for occluding a blood vessel by embolizing a targeted site (such as an  
15 aneurysm) in the blood vessel.

16 The embolization of blood vessels is desired in a number of clinical  
17 situations. For example, vascular embolization has been used to control  
18 vascular bleeding, to occlude the blood supply to tumors, and to occlude  
19 vascular aneurysms, particularly intracranial aneurysms. In recent years,  
20 vascular embolization for the treatment of aneurysms has received much  
21 attention. Several different treatment modalities have been employed in the  
22 prior art. U.S. Patent No. 4,819,637 - Dormandy, Jr. et al., for example,  
23 describes a vascular embolization system that employs a detachable balloon  
24 delivered to the aneurysm site by an intravascular catheter. The balloon is  
25 carried into the aneurysm at the tip of the catheter, and it is inflated inside  
26 the aneurysm with a solidifying fluid (typically a polymerizable resin or gel)  
27 to occlude the aneurysm. The balloon is then detached from the catheter by  
28 gentle traction on the catheter. While the balloon-type embolization device

1 can provide an effective occlusion of many types of aneurysms, it is difficult  
2 to retrieve or move after the solidifying fluid sets, and it is difficult to visualize  
3 unless it is filled with a contrast material. Furthermore, there are risks of  
4 balloon rupture during inflation and of premature detachment of the balloon  
5 from the catheter.

6 Another approach is the direct injection of a liquid polymer embolic  
7 agent into the vascular site to be occluded. One type of liquid polymer used  
8 in the direct injection technique is a rapidly polymerizing liquid, such as a  
9 cyanoacrylate resin, particularly isobutyl cyanoacrylate, that is delivered to  
10 the target site as a liquid, and then is polymerized *in situ*. Alternatively, a  
11 liquid polymer that is precipitated at the target site from a carrier solution has  
12 been used. An example of this type of embolic agent is a cellulose acetate  
13 polymer mixed with bismuth trioxide and dissolved in dimethyl sulfoxide  
14 (DMSO). Another type is ethylene glycol copolymer dissolved in DMSO.  
15 On contact with blood, the DMSO diffuses out, and the polymer precipitates  
16 out and rapidly hardens into an embolic mass that conforms to the shape of  
17 the aneurysm. Other examples of materials used in this "direct injection"  
18 method are disclosed in the following U.S. Patents: 4,551,132 - Pásztor et al.;  
19 4,795,741 - Leshchiner et al.; 5,525,334 - Ito et al.; and 5,580,568 - Greff et al.

20 The direct injection of liquid polymer embolic agents has proven  
21 difficult in practice. For example, migration of the polymeric material from  
22 the aneurysm and into the adjacent blood vessel has presented a problem. In  
23 addition, visualization of the embolization material requires that a contrasting  
24 agent be mixed with it, and selecting embolization materials and contrasting  
25 agents that are mutually compatible may result in performance compromises  
26 that are less than optimal. Furthermore, precise control of the deployment of  
27 the polymeric embolization material is difficult, leading to the risk of  
28 improper placement and/or premature solidification of the material.

1       Moreover, once the embolization material is deployed and solidified, it is  
2       difficult to move or retrieve.

3       Another approach that has shown promise is the use of thrombogenic  
4       microcoils. These microcoils may be made of a biocompatible metal alloy  
5       (typically platinum and tungsten) or a suitable polymer. If made of metal, the  
6       coil may be provided with Dacron fibers to increase thrombogenicity. The  
7       coil is deployed through a microcatheter to the vascular site. Examples of  
8       microcoils are disclosed in the following U.S. patents: 4,994,069 - Ritchart et  
9       al.; 5,122,136 - Guglielmi et al.; 5,133,731 - Butler et al.; 5,226,911 - Chee et  
10      al.; 5,304,194 - Chee et al.; 5,312,415 - Palermo; 5,382,259 - Phelps et al.;  
11      5,382,260 - Dormandy, Jr. et al.; 5,476,472 - Dormandy, Jr. et al.; 5,578,074 -  
12      Mirigian; 5,582,619 - Ken; 5,624,461 - Mariant; 5,639,277 - Mariant et al.;  
13      5,658,308 - Snyder; 5,690,667 - Gia; 5,690,671 - McGurk et al.; 5,700,258 -  
14      Mirigian et al.; 5,718,711 - Berenstein et al.; 5,891,058 - Taki et al.; 6,013,084  
15      - Ken et al.; 6,015,424 - Rosenbluth et al.; and Des. 427,680 - Mariant et al.

16      While many prior art microcoil devices have met with some success in  
17      treating small aneurysms with relatively narrow necks, it has been recognized  
18      that the most commonly used microcoil vaso-occlusive devices achieve less  
19      than satisfactory results in wide-necked aneurysms, particularly in the  
20      cerebrum. This has led to the development of three-dimensional microcoil  
21      devices, such as those disclosed in U.S. Pat. Nos. 5,645,558 - Horton;  
22      5,911,731 - Pham et al.; and 5,957,948 - Mariant (the latter two being in a  
23      class of devices known as "three-dimensional Guglielmi detachable coils", or  
24      "3D-GDC's"). See, e.g., Tan et al., "The Feasibility of Three-Dimensional  
25      Guglielmi Detachable Coil for Embolisation of Wide Neck Cerebral  
26      Aneurysms," *Interventional Neuroradiology*, Vol. 6, pp. 53-57 (June, 2000);  
27      Cloft et al., "Use of Three-Dimensional Guglielmi Detachable Coils in the  
28      Treatment of Wide-necked Cerebral Aneurysms," *American Journal of*

1     *Neuroradiology*, Vol. 21, pp. 1312-1314 (August, 2000).

2             The typical three-dimensional microcoil is formed from a length of  
3     wire that is formed first into a primary configuration of a helical coil, and  
4     then into a secondary configuration that is one of a variety of three-  
5     dimensional shapes. The minimum energy state of this type of microcoil is its  
6     three-dimensional secondary configuration. When deployed inside an  
7     aneurysm, these devices assume a three-dimensional configuration, typically  
8     a somewhat spherical configuration, that is at or slightly greater than, the  
9     minimum energy state of the secondary configuration. Because the overall  
10    dimensions of these devices in their non-minimum energy state configuration  
11    is approximately equal to or smaller than the interior dimensions of the  
12    aneurysm, there is nothing to constrain the device from shifting or tumbling  
13    within the aneurysm due to blood flow dynamics.

14            In some of these three-dimensional devices (e.g., U.S. Pat. 5,122,136 -  
15    Guglielmi et al.), the secondary configuration is itself a helix or some similar  
16    form that defines a longitudinal axis. Devices with what may be termed a  
17    “longitudinal” secondary configuration form a three-dimensional non-  
18    minimum energy state configuration when deployed inside an aneurysm, but,  
19    once deployed, they have displayed a tendency to revert to their minimum  
20    energy state configurations. This, in turn, results in compaction due to “coin  
21    stacking” (i.e., returning to the secondary helical configuration), thereby  
22    allowing recanalization of the aneurysm.

23            There has thus been a long-felt, but as yet unsatisfied need for a  
24    microcoil vaso-occlusive device that has the advantages of many of the prior  
25    art microcoil devices, but that can be used effectively to treat aneurysms of  
26    many different sizes configurations, and in particular those with large neck  
27    widths. It would be advantageous for such a device to be compatible for use  
28    with existing guidewire and microcatheter microcoil delivery mechanisms,

1 and to be capable of being manufactured at costs comparable with those of  
2 prior art microcoil devices.

### 4 SUMMARY OF THE INVENTION

5 Broadly, the present invention is a filamentous vaso-occlusive device  
6 that has a minimum energy state secondary configuration comprising a  
7 plurality of curved segments, whereby the device, in its minimum energy state  
8 configuration, defines multiple axes and/or foci. More specifically, each  
9 segment defines either a plane and an axis that is substantially perpendicular  
10 to the plane, or a path around the surface of a sphere, wherein the path is  
11 defined by a unique locus located at the approximate center point of the  
12 sphere around which the path is generated, and by a radius extending from  
13 that locus that is equal to the radius of that sphere.

14 In a particular preferred embodiment, the present invention is an  
15 elongate microcoil structure having a minimum energy state secondary  
16 configuration that defines a plurality or series of tangentially-interconnected  
17 closed loops, preferably substantially circular or elliptical, defining a plurality  
18 of separate axes. In one form of the preferred embodiment, the closed loops  
19 are substantially coplanar and define axes that are substantially parallel. That  
20 is, the planes defined by the segments are themselves substantially coplanar.  
21 In another form of the preferred embodiment, each pair of adjacent loops  
22 defines a shallow angle, whereby their respective axes define an angle of not  
23 more than about  $90^\circ$ , and preferably not more than about  $45^\circ$ , between them.  
24 A further form of the preferred embodiment has the tangential loops arranged  
25 so that the axis defined by each loop is orthogonal to a unique radius of a  
26 circle, the radii being separated by a fixed angle of arc. In still another form  
27 of the preferred embodiment, the loops, instead of being tangential, overlap.  
28 In any of these forms, the loops may be of substantially uniform diameter, or

1 they may be of different diameters. For example, the first and/or last loop in  
2 the series may be of a smaller diameter than the other loops, or the loops may  
3 be in a series of loops of progressively decreased diameter, optionally with an  
4 additional small-diameter loop preceding the largest diameter loop.

5 In first alternative embodiment, the microcoil structure has a minimum  
6 energy state secondary configuration that defines a wave-form like structure  
7 comprising a longitudinal array of laterally-alternating open loops defining a  
8 plurality of separate axes. In a specific construction of this embodiment, the  
9 wave-form like structure defines a substantially sinusoidal waveform wherein  
10 each of the maxima and minima of the waveform defines an arc of radius  $r$ ,  
11 and wherein each arc is connected to an adjacent arc by a straight section of  
12 length  $L$ , wherein  $L$  is less than about  $2r$ . As in the preferred embodiment,  
13 the alternative embodiment may be in a first form in which the loops are  
14 substantially coplanar and their respective axes are substantially parallel, or in  
15 a second form in which each pair of adjacent loops defines a shallow angle,  
16 whereby their respective axes define an angle of not more than about  $90^\circ$ , and  
17 preferably not more than about  $45^\circ$ , between them.

18 In a second alternative embodiment, the microcoil structure, in its  
19 secondary configuration, forms a series of tangential closed loops, preferably  
20 either substantially circular or elliptical, wherein the entire structure subtends  
21 a first angle of arc, and wherein each adjacent pair of loops defines a second  
22 angle of arc between them. Preferably, the first angle is greater than about  
23  $30^\circ$ , and the second angle is less than about half of the first angle. It will be  
24 seen that each loop defines an axis, with the angle formed by the axes of  
25 adjacent loops being the second angle.

26 In a third alternative embodiment, the secondary configuration of the  
27 microcoil structure forms preferably at least two interconnected equiangular  
28 or logarithmic spirals, each defining a single unique axis. As used in this

1 specification, a logarithmic or equiangular spiral is defined as a curve that  
2 cuts all radii vectors at a constant angle. Specifically, if the curve is a spiral,  
3 that is, a curve in which the radial vector  $R$  is a monotonic increasing  
4 function of the radial angle  $\theta$ , the spiral will be an equiangular spiral if the  
5 angle  $\alpha$  formed between a radial vector  $R$  and the tangent for any point  $P$  on  
6 the spiral is constant. In equiangular spirals having an angle  $\alpha$  of greater than  
7 about  $70^\circ$ , the configuration begins to resemble that of the shell of the  
8 chambered nautilus. In the limiting case, it may be seen that a circle is an  
9 equiangular spiral in which the angle  $\alpha$  is  $90^\circ$  (the radial vector being a  
10 radius).

11 In a fourth alternative embodiment, the secondary configuration of the  
12 microcoil structure resembles a series of interconnected complex curved  
13 segments, each of which is defined by a path around the surface of a sphere.  
14 Each of the segments is thus defined by a unique focus located at the  
15 approximate center point of the sphere around which the path is generated,  
16 and by a radius extending from that locus that is equal to the radius of that  
17 sphere. Each segment may be defined by radii that are coplanar (in the case  
18 of a segment that is defined by a substantially circumferential path around its  
19 defining sphere), or by radii that lie in different planes intersecting the sphere  
20 (where path around the defining sphere deviates from a circumferential path).  
21 The segments thus resemble nearly, but not fully, completed circles  
22 (circumferential path) or helical loops (non-circumferential path), and they  
23 may be either of uniform or different diameters.

24 In any of the embodiments, the device is preferably formed from a  
25 microcoil structure, but it may alternately be formed of a flexible,  
26 filamentous, non-coil structure. Known non-coil structures used in vaso-  
27 occlusive devices include, but are not limited to, wires, slotted wires, spiral  
28 cut wires, tubes, slotted tubes, spiral cut tubes, polymer filaments,



1 polymer/metal composite filaments, and micro-chains.

2 In any of the embodiments, the device, in its minimum energy state  
3 secondary configuration, has a dimension that is substantially larger  
4 (preferably at least about 25% greater) than the largest dimension of the  
5 vascular space in which the device is to be deployed. Most preferably, the  
6 length of the device, in its minimum energy state secondary configuration,  
7 should be at least about twice the maximum diameter of the targeted  
8 aneurysm or other vascular site in which the device is to be installed. Also, it  
9 is advantageous to provide in the device at least one curved segment having a  
10 diameter, in the minimum energy state secondary configuration, that is  
11 approximately equal to the largest diameter of the targeted aneurysm or  
12 vascular site. Thus, when the device is deployed inside a vascular site such as  
13 an aneurysm, the confinement of the device within the site causes the device  
14 to assume a three-dimensional configuration that has a higher energy state  
15 than the minimum energy state. Because the minimum energy state of the  
16 device is larger (in at least one dimension) than the space in which it is  
17 deployed, the deployed device is constrained by its intimate contact with the  
18 walls of the aneurysm from returning to its minimum energy state  
19 configuration. Therefore, the device still engages the surrounding aneurysm  
20 wall surface, thereby minimizing shifting or tumbling due to blood flow  
21 dynamics. Furthermore, the minimum energy state secondary configuration  
22 (to which the device attempts to revert) is not one that is conducive to "coin  
23 stacking", thereby minimizing the degree of compaction that is experienced.

24 As will be better appreciated from the detailed description that follows,  
25 the present invention provides for effective embolization of vascular structures  
26 (particularly aneurysms) having a wide variety of shapes and sizes. It is  
27 especially advantageous for use in wide-necked aneurysms. Furthermore, as  
28 will be described in more detail below, the present invention may be deployed

1 using conventional deployment mechanisms, such as microcatheters and  
2 guidewires.

#### 4 BRIEF DESCRIPTION OF THE DRAWINGS

5 Figure 1 is a perspective view of a microcoil vaso-occlusive device in  
6 accordance with a preferred embodiment of the present invention;

7 Figure 2 is a partial view of the device of Figure 1, taken within the  
8 area designated by the numeral 2 in Figure 1;

9 Figures 3 and 4 are partial views of a microcoil vaso-occlusive device in  
10 accordance with another form of the preferred embodiment of the present  
11 invention;

12 Figure 5 is a plan view of a microcoil vaso-occlusive device in  
13 accordance with a first alternative embodiment of the invention;

14 Figure 6 is an elevational view of the present invention in the process  
15 of being deployed through a microcatheter into a wide-necked aneurysm;

16 Figure 7 is a perspective view of a heat treatment fixture used to  
17 manufacture the preferred embodiment of the present invention;

18 Figure 8 is a perspective view of a second alternative embodiment of  
19 the invention;

20 Figure 9 is an elevational view of the second alternative embodiment of  
21 Figure 8;

22 Figure 10 is a plan view of another form of the first alternative  
23 embodiment of the invention;

24 Figure 11 is a plan view of a third alternative embodiment of the  
25 invention;

26 Figures 12-15 are plan views of other forms of the preferred  
27 embodiment of the invention;

28 Figure 16 is a perspective view of a fourth alternative embodiment of

1 the invention, showing how its is formed on a specialized heat treatment  
2 fixture, the latter being shown in a simplified, idealized form; and

3 Figure 17 is an elevational view of still another form of the preferred  
4 embodiment of the present invention.

## 5 6 DETAILED DESCRIPTION OF THE INVENTION

7 Referring first to Figures 1-4 and 8, a microcoil vaso-occlusive device  
8 10, in accordance with a preferred embodiment of the invention is shown.  
9 The device 10 comprises a suitable length of wire formed into the primary  
10 configuration of a helical microcoil 12 (Figure 2). Suitable materials for the  
11 device 10 include platinum, rhodium, palladium, rhenium, tungsten, gold,  
12 silver, tantalum, and various alloys of these metals. Various surgical grade  
13 stainless steels may also be used. Preferred materials include the  
14 platinum/tungsten alloy known as Platinum 479 (92% Pt, 8% W, available  
15 from Sigmund Cohn, of Mount Vernon, NY) and titanium/nickel alloys  
16 (such as the titanium/nickel alloy known as "nitinol"). Another material that  
17 may be advantageous is a bimetallic wire comprising a highly elastic metal  
18 with a highly radiopaque metal. Such a bimetallic wire would also be  
19 resistant to permanent deformation. An example of such a bimetallic wire is  
20 a product comprising a nitinol outer layer and an inner core of pure reference  
21 grade platinum, available from Sigmund Cohn, of Mount Vernon, NY; and  
22 Anomet Products, of Shrewsbury, MA. Wire diameters of about 0.0125 mm  
23 to about 0.150 mm may be used.

24 The microcoil 12 has a diameter that is typically in the range of about  
25 0.125 mm to about 0.625 mm, with a preferred a preferred range, for most  
26 neurovascular applications, of about 0.25 mm to about 0.40 mm. The axial  
27 length of the microcoil 12 may be anywhere from about 5 mm to about 1000  
28 mm, with about 20 mm to about 400 mm being typical.

1           The primary winding of the microcoil 12 is applied under tension. The  
2           amount of tension, and the pitch of the primary winding, determine the  
3           stiffness of the microcoil 12. These parameters can be varied along the length  
4           of the microcoil 12 to form a microcoil having different degrees of stiffness  
5           along its length, which may be advantageous in certain applications.

6           The microcoil 12 is formed into a secondary configuration that  
7           comprises a plurality of curved segments, each defining an axis, whereby the  
8           microcoil 12 defines multiple axes. More specifically, each of the curved  
9           segments defines a plane an axis that is substantially perpendicular to the  
10          plane. In the preferred embodiment of Figures 1-4, the curved segments are  
11          tangentially-interconnected closed loops 14a, 14b that are substantially  
12          circular, and that define a plurality of separate axes 16. In one form of the  
13          preferred embodiment, shown in Figure 1, the loops 14a, 14b are substantially  
14          coplanar and define axes 16 that are substantially parallel. In another form  
15          of the preferred embodiment, shown in Figures 3 and 4, each pair of adjacent  
16          loops 14a, 14b defines a shallow angle, whereby their respective axes 16  
17          define an angle ( $\theta_1$ ,  $\theta_2$ ,  $\theta_3$ , and  $\theta_4$ ) of not more than about  $90^\circ$  between them,  
18          and preferably not more than about  $45^\circ$ .

19          The preferred embodiment of the invention typically includes a pair of  
20          end loops 14a and at least one intermediate loop 14b. Typically, there will be  
21          up to four intermediate loops 14b, depending on the vascular site to be  
22          embolized, but there may be as many as six or more, for use in very large  
23          vascular sites. The intermediate loops are sized to have a diameter  
24          approximately equal to the maximum diameter of the target vascular site  
25          (e.g., an aneurysm), while the end loops 14a have a slightly smaller diameter  
26          (preferably, approximately 1.5 mm smaller), for purposes to be described  
27          below.

28          The primary microcoil 12 is formed into the secondary configuration

1 by heat treatment, as is well known in the art. For example, the annealed  
2 primary coil may be initially placed into the secondary configuration by  
3 winding or wrapping around a suitably shaped and sized mandrel of  
4 refractory material, and then subjected to an annealing temperature for a  
5 specified period of time. For Platinum 479, for example, an annealing  
6 temperature of about 500°C to about 1000°C, preferably approximately  
7 670°C, is maintained for about 30 to 90 minutes, preferably about 60  
8 minutes, then cooled to room temperature and ultrasonically cleaned. The  
9 resultant secondary configuration is thereby made permanent, and it becomes  
10 the minimum energy state configuration of the microcoil 12.

11 Figure 7 shows a heat treatment fixture 50 used in the manufacture of  
12 the preferred embodiment of the invention. The fixture 50 is made of a  
13 refractory material, and it includes a base 52 having a surface on which is  
14 provided a mandrel for the secondary winding. The mandrel comprises a  
15 plurality of winding pins 54a, 54b extending upwardly from the surface of the  
16 base 52. The exemplary fixture 50 shown in the drawing has six pins  
17 arranged in roughly a hexagonal pattern. There are two end winding pins 54a  
18 adjacent each other, and four intermediate winding pins 54b. A pair of  
19 fastening pegs 56 is located near one end of the fixture, for fastening the ends  
20 of the primary coil 12.

21 The diameters of the end winding pins 54a are slightly smaller than the  
22 diameters of the intermediate winding pins 54b to achieve the size  
23 relationships described above. The spacings between the pins 54a, 54b are  
24 only slightly greater than the diameter of the primary coil 12, so that only one  
25 wind of the primary coil can be passed around the pins with each winding of  
26 the secondary coil. Each subsequent winding of the secondary coil is thus  
27 stacked on top of the previous winding. This eliminates any straight sections  
28 in the secondary coil, which, during deployment, would tend to push the coil

1 into the parent artery.

2 During the secondary winding process, the primary coil 12 is kept  
3 under tension. The amount of tension can be adjusted to control the degree of  
4 spring-back of the loops 14a, 14b of the microcoil 12.

5 The secondary winding of the microcoil 12 is performed so that the  
6 loops 14a, 14b reverse direction as the microcoil 12 is wrapped around each  
7 successive pin on the fixture. This ensures that loops will not coin stack, and  
8 that they will disperse randomly throughout the aneurysm once deployed.  
9 Furthermore, in the preferred embodiment, each loop is wound a complete  
10 360° before the next loop is wound. This ensures that each loop will  
11 completely seat within the aneurysm before the microcoil 12 reverses  
12 direction. With a complete loop intact, the loop strength is maximized, and  
13 the loop distributes loads evenly.

14 Figures 12-15 and 17 illustrate alternative forms of the above-described  
15 preferred embodiment. Specifically, in Figure 12, a microcoil 12' has a  
16 secondary configuration that includes a plurality of connected curved  
17 segments, wherein the curved segments are overlapping connected closed  
18 loops 14', that are substantially circular, with each loop 14' defining a separate  
19 axis 16'. In Figure 13, a microcoil 12" has a secondary configuration that  
20 includes a plurality of connected curved segments, wherein the curved  
21 segments are tangentially-interconnected, substantially elliptical loops 14",  
22 each defining a separate axis 16". Figures 14 and 15 show alternative forms  
23 that are similar to that of Figures 1-4, except that the loops are of different  
24 diameters. Thus, in Figure 14, a microcoil 12''' has a secondary configuration  
25 that includes a plurality of tangentially-interconnected, substantially circular  
26 loops 14''' of progressively decreasing diameter, starting from a loop 14'''c of  
27 the largest diameter, each of the loops defining a unique axis 16''''. The  
28 variant form shown in Figure 15 is similar to that of Figure 14, except that

1 there is an additional small-diameter loop 14''d preceding the largest  
2 diameter loop 14''c. A further form of the preferred embodiment, illustrated  
3 in Figure 17, comprises a microcoil 12''v having a minimum energy state  
4 secondary configuration in which a plurality of interconnected, tangential  
5 loops 14''v are arranged so that each loop defines an axis 16''v that is orthogonal  
6 to a unique radius  $r$  of a circle, the radii being separated by a fixed angle of  
7 arc  $\theta$ .

8 Figure 5 shows a microcoil vaso-occlusion device 20 in accordance  
9 with a first alternative embodiment of the invention. This embodiment  
10 includes a primary microcoil 22 formed into a secondary minimum energy  
11 state configuration that defines a wave-form like structure comprising a  
12 longitudinal array of laterally-alternating open loops 24 defining a plurality of  
13 separate axes 26. As in the preferred embodiment, the alternative  
14 embodiment may be in a first form in which the loops 24 are substantially  
15 coplanar and their respective axes 26 are substantially parallel, or in a second  
16 form in which each pair of adjacent loops 24 defines a shallow angle, whereby  
17 their respective axes 26 define an angle of not more than about  $90^\circ$ , and  
18 preferably not more than about  $45^\circ$ , between them. The materials,  
19 dimensions, and method of manufacture of this alternative embodiment are,  
20 in all material respects, similar to those of the preferred embodiment  
21 described above.

22 Figure 10 illustrates a specific construction of this embodiment,  
23 wherein the primary microcoil structure 22' is formed into a secondary  
24 minimum energy state configuration having a wave-form like structure that  
25 defines a substantially sinusoidal waveform, defining a plurality of separate  
26 axes 26'. The waveform has at least one maximum 22a and at least one  
27 minimum 22b, each of which defines an arc of radius  $r$ , and wherein each arc  
28 is connected to an adjacent arc by a straight section of length  $L$ , wherein  $L$  is

1 less than about 2r.

2 The method of using the present invention is shown in Figure 6. In  
3 use, the proximal end of the microcoil 12 (or 22) is attached to the distal end  
4 of an elongate delivery device, such as a guidewire or microcatheter (not  
5 shown). The attachment may be by any of a number of ways known in the  
6 art, as exemplified by the following U.S. patents, the disclosures of which are  
7 expressly incorporated herein by reference: 5,108,407 - Geremia et al.;  
8 5,122,136 - Guglielmi et al.; 5,234,437 - Sepetka; 5,261,916 - Engelson;  
9 5,304,195 - Twyford, Jr. et al.; 5,312,415 - Palermo; 5,423,829 -Pham et al.;  
10 5,522,836 - Palermo; 5,645,564 - Northrup et al.; 5,725,546 - Samson;  
11 5,800,453 - Gia; 5,814,062 - Sepetka et al.; 5,911,737 - Lee et al.; 5,989,242 -  
12 Saadat et al.; 6,022,369 - Jacobsen et al. 6,063,100 - Diaz et al.; 6,068,644 -  
13 Lulo et al.; and 6,102,933 - Lee et al.

14 A target vascular site is visualized, by conventional means, well-  
15 known in the art. The target vascular site may be an aneurysm 40 branching  
16 off a parent artery 42. The aneurysm 40 has a dome 44 connected to the  
17 branch artery by a neck 46. A catheter 30 is passed intravascularly until it  
18 enters the dome 44 of the aneurysm 40 via the neck 46. The microcoil 12 is  
19 passed through the catheter 30 with the assistance of the guidewire or  
20 microcatheter until the microcoil 12 enters the dome 44 of the aneurysm 40.

21 The undersized end loop 14a at the distal end of the microcoil 12 enters  
22 the aneurysm first. This assists in seating the first loop properly, because the  
23 smaller size keeps the first loop inside the neck 46 of the aneurysm, avoiding  
24 the parent artery 42.

25 The intermediate loops 14b then enter the aneurysm. Because they are  
26 sized to fit the aneurysm, they can deploy freely and smoothly with minimal  
27 friction against the wall of the aneurysm. Because the secondary  
28 configuration of the microcoil 12 is essentially coplanar, all of the



1 intermediate loops exert a force against the walls of the aneurysm dome 44,  
2 thereby improving the resistance of the microcoil 12 to shifting due to  
3 pulsatile blood flow.

4 As the microcoil 12 enters the aneurysm, it attempts to assume its  
5 secondary configuration. Because the microcoil, in its secondary  
6 configuration, is larger than the aneurysm, however, it is constrained into a  
7 deployed configuration in which it tends to line the periphery of the  
8 aneurysm. In this deployed configuration, the microcoil is in an energy state  
9 that is substantially higher than its minimum energy state. Thus, when the  
10 device is deployed inside a vascular site such as an aneurysm, the  
11 confinement of the device within the site causes the device to assume a three-  
12 dimensional configuration that has a higher energy state than the minimum  
13 energy state. Because the minimum energy state of the device is larger (in at  
14 least one dimension) than the space in which it is deployed, the deployed  
15 device is constrained by its intimate contact with the walls of the aneurysm  
16 from returning to its minimum energy state configuration. Therefore, the  
17 device still engages the surrounding aneurysm wall surface, thereby  
18 minimizing shifting or tumbling due to blood flow dynamics. Furthermore,  
19 the minimum energy state secondary configuration (to which the device  
20 attempts to revert) is not one that is conducive to "coin stacking", thereby  
21 minimizing the degree of compaction that is experienced.

22 The undersized end loop 14a at the proximal end of the microcoil 12  
23 enters the aneurysm last. After the microcoil is fully deployed, it is  
24 controllably detached from the delivery device by any suitable means well-  
25 known in the art, thereby allowing the delivery device to be withdrawn,  
26 leaving the microcoil in place to embolize the aneurysm. After detachment,  
27 the proximal end loop 14a curls into the neck 46 of the aneurysm 40, avoiding  
28 the parent artery 42.

1           The microcoil is designed with a maximum loops diameter that is  
2   dimensioned to line the periphery of the aneurysm upon deployment, as  
3   mentioned above. For larger aneurysms, it is advantageous to fill in a  
4   substantial portion of the interior volume of the aneurysm by deploying one  
5   or more additional microcoils, of progressively smaller maximum loop  
6   diameter.

7           Figures 8 and 9 illustrate a vaso-occlusion device in accordance with a  
8   second alternative embodiment of the invention. This embodiment includes a  
9   primary microcoil 60 formed into a secondary minimum energy state  
10   configuration that forms a series of tangential closed loops 62 (preferably  
11   substantially circular or elliptical), wherein the entire structure subtends a first  
12   angle of arc  $\theta_1$ , and wherein each adjacent pair of circles or ellipses defines a  
13   second angle of arc  $\theta_2$  between them. Preferably, the first angle  $\theta_1$  is greater  
14   than about  $30^\circ$ , and the second angle  $\theta_2$  is less than about half of the first  
15   angle  $\theta_1$ . Although not illustrated in the drawings, it will be appreciated that  
16   each loop 62 defines an axis, whereby the angle formed between the axes of  
17   adjacent loops 62 is equal to  $\theta_2$ .

18          Figure 11 illustrates a vaso-occlusive device in accordance with a third  
19   alternative embodiment of the invention. In this embodiment, a microcoil 70  
20   has a secondary configuration that forms at least a pair of connected  
21   equiangular or logarithmic spirals 72, each of the spirals defining an axis 73  
22   that is orthogonal to the plane defined by the spiral. For the purpose of this  
23   specification, an equiangular or logarithmic spiral is defined as a curve that  
24   cuts all radii vectors at a constant angle, where a radial vector  $R$  is defined as  
25   a line drawn from any point  $P$  on the spiral to the center of the spiral.  
26   Specifically, if the curve is a spiral, that is, a curve having a radial vector  $R$   
27   that is a monotonic increasing function of the radial angle  $\theta$ , the spiral will be  
28   an equiangular spiral if the angle  $\alpha$  formed between a radial vector and the

1 tangent for any point P on the spiral is constant.

2 Figure 16 illustrates a vaso-occlusive device in accordance with a  
3 fourth alternative embodiment, wherein a microcoil 80 has a secondary  
4 configuration that resembles a series of interconnected complex curved  
5 segments 82, each of which is defined by a path around the surface of a sphere  
6 84. Each of the segments is thus defined by a unique focus 86 located at the  
7 approximate center point of the sphere 84 around which the path is generated,  
8 and by a radius  $r$  extending from that locus 86 that is equal to the radius of  
9 that sphere. Each segment may be defined by radii that are coplanar (in the  
10 case of a segment that is defined by a substantially circumferential path  
11 around its defining sphere), or by radii that lie in different planes intersecting  
12 the sphere (where path around the defining sphere deviates from a  
13 circumferential path). The segments thus resemble nearly, but not fully,  
14 completed circles (circumferential path) or helical loops (non-circumferential  
15 path), and they may be either of uniform or different diameters.

16 The present invention thus exhibits several advantages over prior art  
17 three-dimensional microcoils. For example, there is increased coverage of the  
18 aneurysm neck, due to the presence of loops across the neck, yet the  
19 probability of any part of the device intruding into the parent artery is  
20 reduced. The secondary coil configuration also provides smoother  
21 deployment, and, once deployed, the device exhibits greater resistance to coil  
22 compaction, thereby increasing positional stability in the face of pulsatile  
23 blood flow. This stability is achieved with lower overall friction between the  
24 device and the aneurysm wall. Moreover, the random distribution of loops  
25 throughout the aneurysm allows the device to maintain a complex shape  
26 inside the aneurysm, yielding improved embolization.

27 While a preferred embodiment and alternative embodiments of the  
28 invention have been described herein, it will be appreciated that a number of

1 variations and modifications will suggest themselves to those skilled in the  
2 pertinent arts. For example, other secondary configurations than those  
3 described herein may be found that will yield most, if not all, of the  
4 significant advantages of the invention for treatment of the typical aneurysm,  
5 or that will prove especially advantageous in specific clinical applications.  
6 Also, for specific applications, the dimensions and materials may be varied  
7 from those disclosed herein if found to be advantageous. These and other  
8 variations and modifications are considered to be within the spirit and scope  
9 of the invention, as defined in the claims that follow.

1       WHAT IS CLAIMED IS:

2               1. A vaso-occlusive device comprising a filamentous structure formed  
3 into a minimum energy state secondary configuration comprising a plurality  
4 of curved segments, each defining a discrete axis, whereby the device, in its  
5 minimum energy state configuration, defines multiple axes.

6  
7               2. The device of Claim 1, wherein each of the curved segments defines  
8 a plane and an axis that is substantially perpendicular to the plane.

9  
10              3. The device of Claim 1, wherein the multiple axes are substantially  
11 parallel.

12  
13              4. The device of Claim 1, wherein each adjacent pair of the multiple  
14 axes forms an acute angle.

15  
16              5. The device of Claim 1, wherein the curved segments are  
17 substantially closed loops, interconnected to each other.

18  
19              6. The device of Claim 1, wherein the curved segments are wave-like  
20 open loops.

21  
22              7. The device of Claim 6, wherein open loops define a substantially  
23 sinusoidal waveform.

24  
25              8. The device of Claim 7, wherein the waveform has a maximum and  
26 a minimum, wherein each of the maximum and minimum defines an arc of  
27 radius length  $r$ , and wherein each arc is connected to an adjacent arc by a  
28 straight section having a length that is less than about  $2r$ .

1           9. The device of Claim 5, wherein the closed loops are arranged  
2 tangentially to each other.

3  
4           10. The device of Claim 5, wherein at least one of the loops overlaps  
5 an adjacent loop.

6  
7           11. The device of Claim 9, wherein each loop defines an axis that is  
8 orthogonal to a unique radius of a circle, wherein the radii are separated by a  
9 fixed angle of arc.

10  
11           12. The device of Claim 5, wherein the device comprises a plurality of  
12 loops of progressively decreasing diameter from a largest loop to a smallest  
13 loop.

14  
15           13. The device of Claim 12, wherein the smallest loop is a first smallest  
16 loop, and wherein device further comprises a second smallest loop  
17 immediately adjacent the largest loop.

18  
19           14. The device of Claim 1, wherein the device is dimensioned for  
20 installation in a vascular site having a predetermined maximum dimension,  
21 and wherein the device has at least one dimension, in its secondary  
22 configuration, that is at least 25% greater than the maximum dimension of the  
23 vascular site.

24  
25           15. The device of Claim 1, wherein the device is dimensioned for  
26 installation in a vascular site having a predetermined maximum diameter, and  
27 wherein the device, in its secondary configuration, has at least one curved  
28 segment having a diameter that is approximately equal to the maximum

1 wherein the device, in its secondary configuration, has at least one curved  
2 segment having a diameter that is approximately equal to the maximum  
3 diameter of the vascular site.  
4

5 30. The device of Claim 28, wherein the device has a length, in its  
6 secondary configuration, that is at least twice the maximum dimension of the  
7 vascular site.  
8

9 31. The device of Claim 20, wherein the filamentous element is  
10 selected from the group consisting of a microcoil, a wire, a slotted wire, a  
11 spiral cut wire, a tube, a slotted tube, a spiral cut tube, a polymer filament, a  
12 polymer/metal composite filament, and a micro-chain.  
13

14 32. A method of embolizing a vascular site having a predetermined  
15 maximum diameter, comprising the steps of:

16 (a) providing vaso-occlusive device comprising a filamentous structure  
17 formed into a minimum energy state secondary configuration comprising a  
18 plurality of interconnected curved segments, whereby the device, in its  
19 minimum energy state configuration, has a length that is at least about 25%  
20 larger than the maximum diameter of the vascular site; and

21 (b) deploying the device into the interior of the vascular site so that  
22 device is contained within the vascular site in a configuration having an  
23 energy state that is substantially higher than its minimum energy state,  
24 whereby the device is constrained by its contact with the vascular site from  
25 returning to its minimum energy state configuration.  
26

27 33. The method of Claim 32, wherein the device has a length in its  
28 minimum energy state secondary configuration that is at least about twice the

1 maximum diameter of the vascular site.

2  
3 34. The method of Claim 32, wherein the device, in its minimum  
4 energy state secondary configuration, has at least one curved segment having  
5 a diameter that is approximately equal to the maximum diameter of the  
6 vascular site.

7  
8 35. The method of Claim 32, wherein each of the curved segments is a  
9 substantially closed loop, each defining a discrete axis.

10  
11 36. The method of Claim 32, wherein each of the curved segments is a  
12 wave-like open loop, each defining a discrete axis.

13  
14 37. The method of Claim 32, wherein each of the curved segments is a  
15 logarithmic spiral.

16  
17 38. The method of Claim 32, wherein each of the curved segments is  
18 defined by a path around the surface of a sphere, the path being defined by a  
19 unique locus at the approximate center point of the sphere around which the  
20 path is generated, and by a radius extending from the center point that is  
21 equal to the radius of the sphere.

22  
23 39. A vaso-occlusive device for embolizing a vascular site having a  
24 predetermined maximum diameter, the device comprising:

25 a filamentous structure formed into a minimum energy state secondary  
26 configuration comprising a plurality of curved segments, whereby the device,  
27 in its minimum energy state configuration, has a length that is at least about  
28 25% larger than the maximum diameter of the vascular site.



1           40. The device of Claim 39, wherein the device has a length in its  
2           minimum energy state secondary configuration that is at least about twice the  
3           maximum diameter of the vascular site.

4  
5           41. The device of Claim 39, wherein the device, in its minimum  
6           energy state secondary configuration, has at least one curved segment having  
7           a diameter that is approximately equal to the maximum diameter of the  
8           vascular site.

9  
10          42. The device of Claim 39, wherein each of the curved segments is a  
11          substantially closed loop, each defining a discrete axis.

12  
13          43. The device of Claim 39, wherein each of the curved segments is a  
14          wave-like open loop, each defining a discrete axis.

15  
16          44. The device of Claim 39, wherein each of the curved segments is a  
17          logarithmic spiral.

18  
19          45. The device of Claim 39, wherein each of the curved segments is  
20          defined by a path around the surface of a sphere, the path being defined by a  
21          unique locus at the approximate center point of the sphere around which the  
22          path is generated, and by a radius extending from the center point that is  
23          equal to the radius of the sphere.

FIG. 1

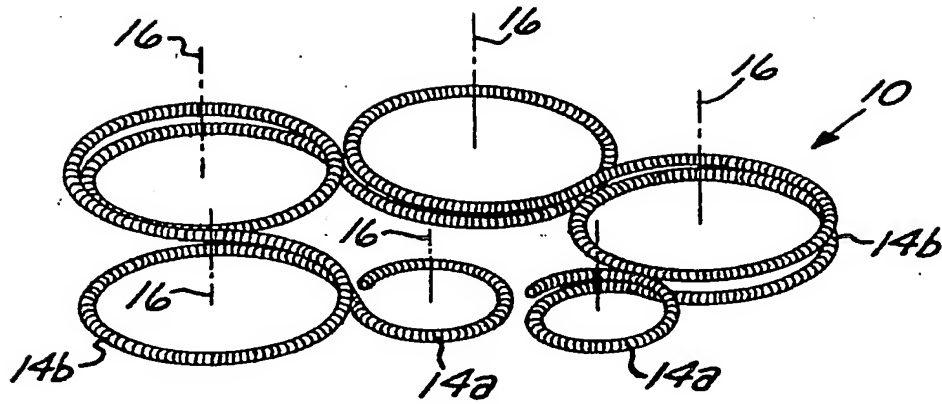


FIG. 2

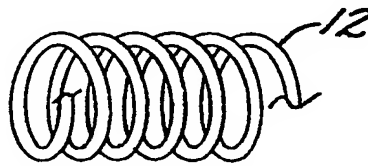


FIG. 3

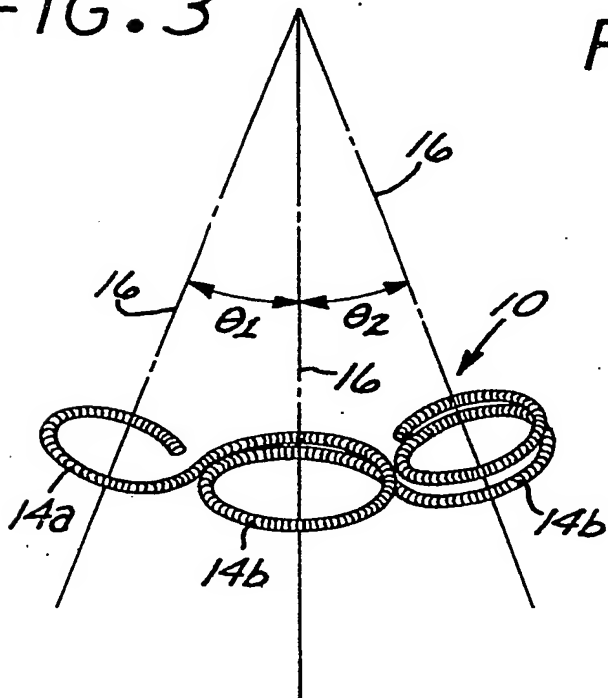
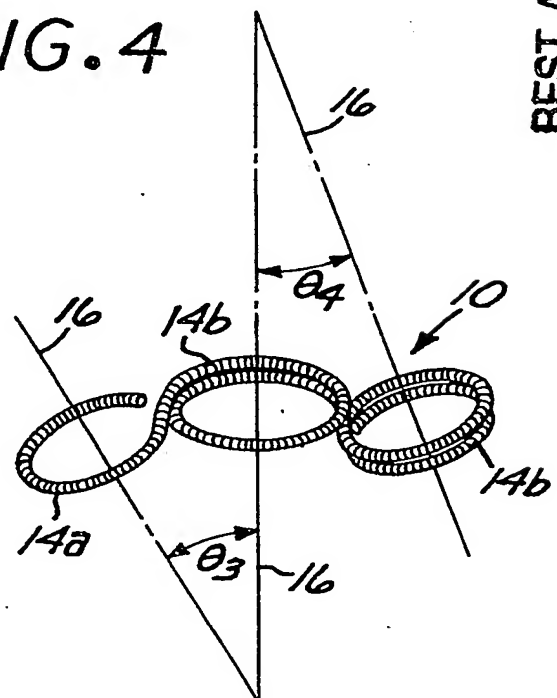
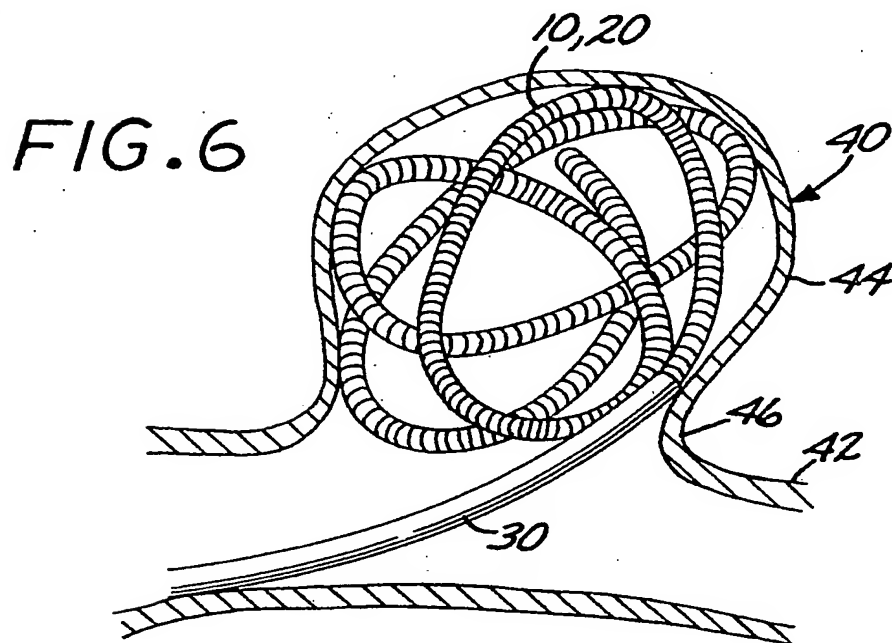
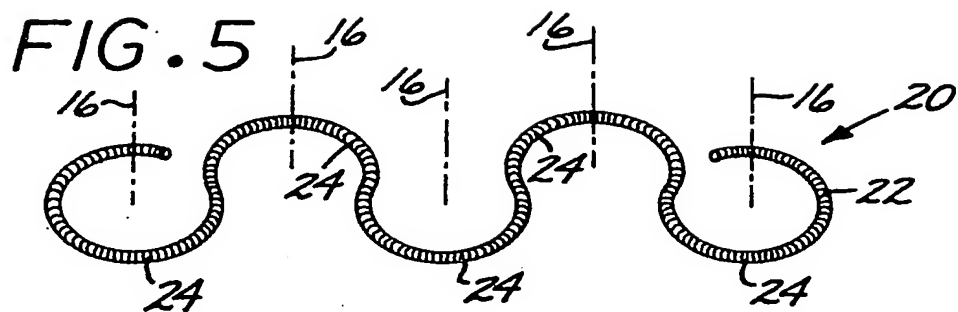


FIG. 4



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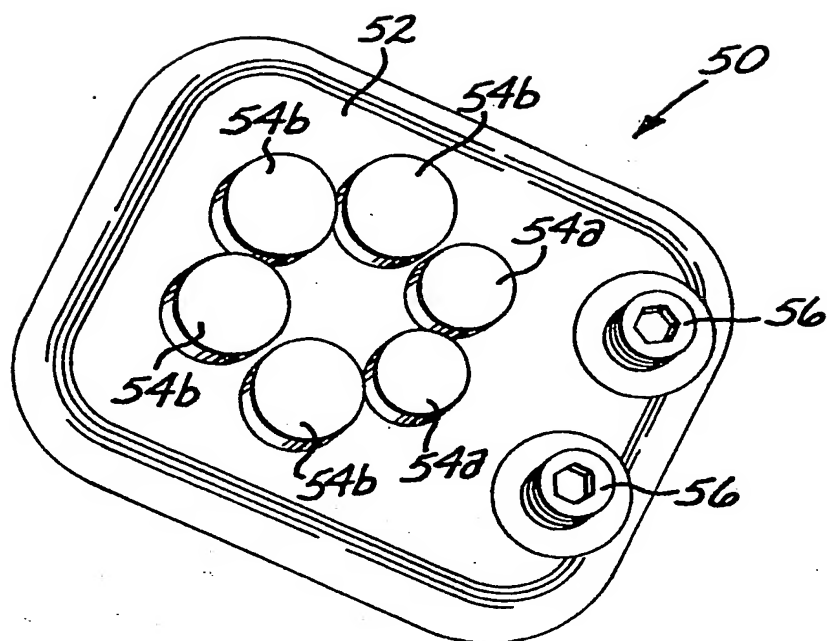


FIG. 7

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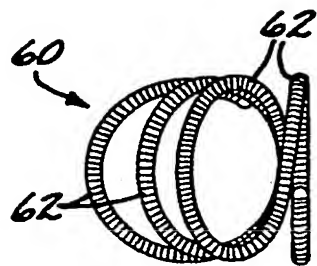


FIG. 8

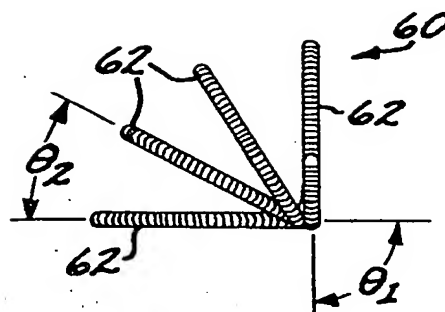


FIG. 9

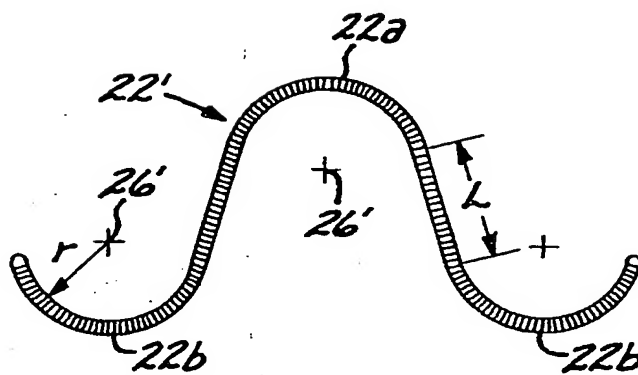


FIG. 10

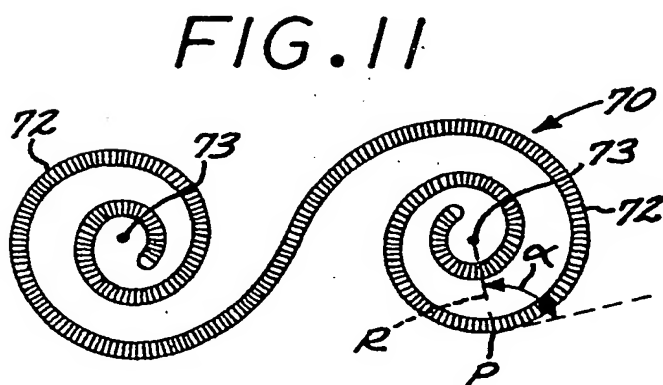


FIG. 11

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FIG. 12

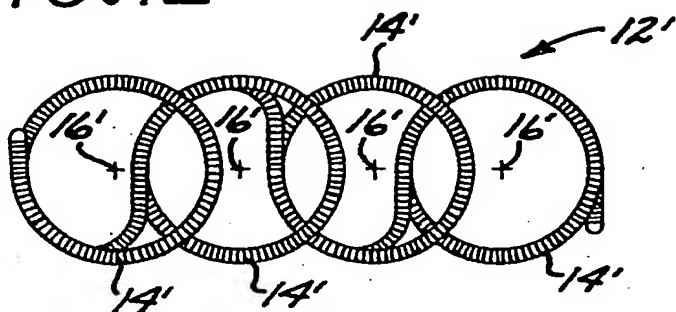


FIG. 13

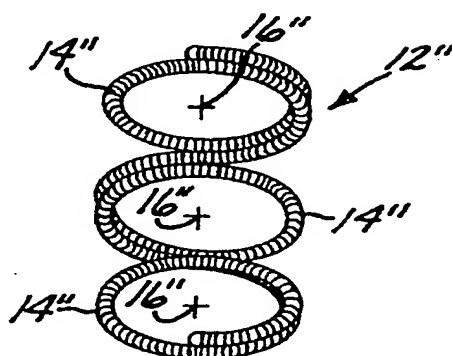
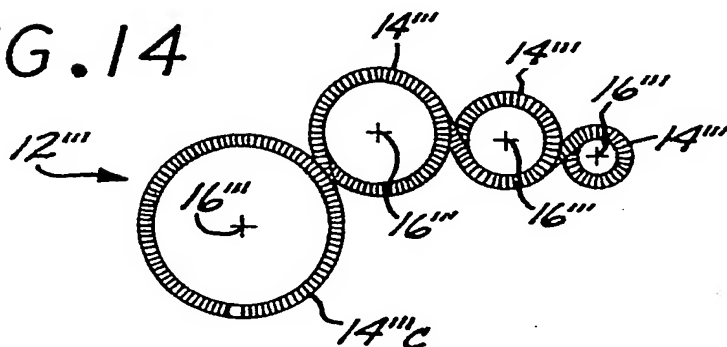
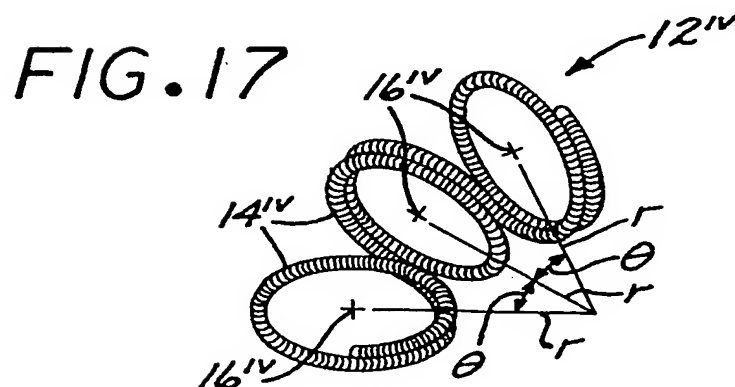
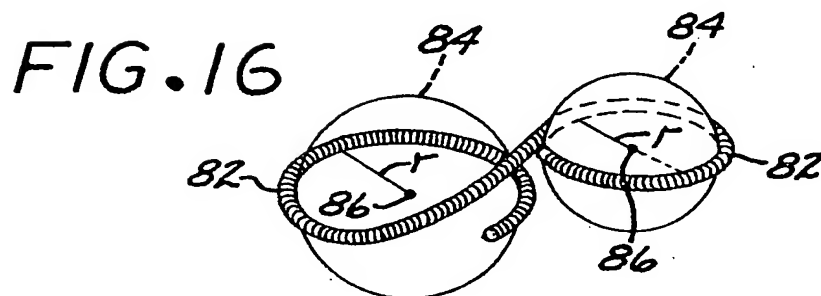
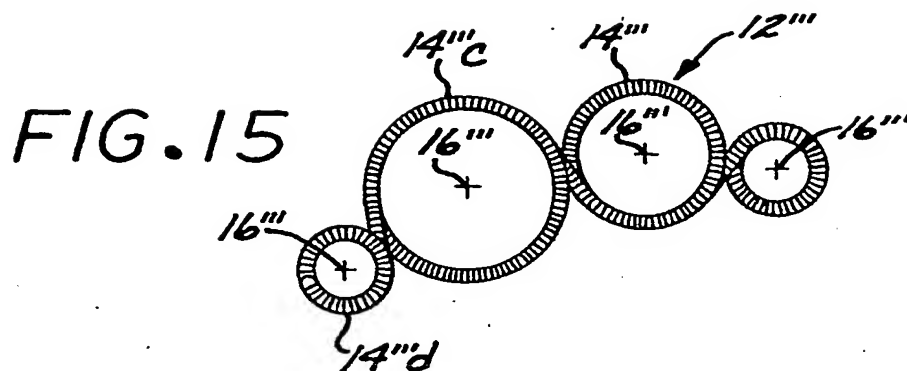


FIG. 14



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## INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 03/00779

A. CLASSIFICATION OF SUBJECT MATTER  
IPC 7 A61B17/12

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 A61B A61F

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 6 280 457 B1 (WALLACE MICHAEL P ET AL) 28 August 2001 (2001-08-28) column 9, line 62 - column 10, line 14; figures 2A, 2B	1-5, 9
X	WO 01 93780 A (MICRUS CORP) 13 December 2001 (2001-12-13) page 11, line 17 - line 31; figure 9	1, 6-8
A	---	12
X	US 5 536 274 A (NEUSS MALTE) 16 July 1996 (1996-07-16) column 7, line 36 - line 58; figure 7B	1, 5, 10
X	WO 00 21443 A (COOK INC) 20 April 2000 (2000-04-20) page 13, line 10 - line 30; figure 18 page 15, line 28 - line 30	1, 5, 11
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	-/--	

☒ Further documents are listed in the continuation of box C.☒ Patent family members are listed in annex.

\* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier document but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&amp;" document member of the same patent family

Date of the actual completion of the international search

30 June 2003

Date of mailing of the international search report

Name and mailing address of the ISA

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Angeli, M

## INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 03/00779

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 98 02100 A (ANSON MEDICAL LTD ; QURESHI SHAKEEL (GB); REIDY JOHN (GB); ANSON AN) 22 January 1998 (1998-01-22) page 14, paragraph 1; figure 11 -----	1,5,12

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US 03/00779

## Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☒ Claims Nos.: -32-38  
because they relate to subject matter not required to be searched by this Authority, namely:  
Rule 39.1(iv) PCT - Method for treatment of the human or animal body by surgery
2. ☒ Claims Nos.: 15-31  
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:  
Pages 22 and 23 of the application, including claims 20-28 and part of claims 15 and 29, have not been filed and therefore the latter claims could not be searched. Further, claims 30 and 31 could not be searched, as they relate to claims 28 and 20.
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☒ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:  
1-13

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 03/00779

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
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			AT 110551 T	15-09-1994
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